

## **CSE5820. Advanced Machine Learning Reinforcement Learning**

Tue./Thurs. 3:30pm – 4:45pm, Room: ITE 119

The objective of this course is to introduce reinforcement learning (RL) to students including important concepts, design principles, widely used algorithms, RL with functional approximation, and generalization of RL. The course will also discuss stochastic gradient optimization algorithms that are important in understanding machine learning including RL. Another goal is for students to be able to review and understand literature on specific topics related to RL and design innovative methods to address research problems.

The course consists of lectures, programming-based and non-programming homework assignments, literature review, quizzes, and a final term project. Lectures will serve as the vehicle for the instructor to introduce concepts and knowledge. Two quizzes are used to test if basic concepts have been mastered. Homework assignments will be used for students to get profound hands-on experience by programming or experimenting with certain RL algorithms. Paper reviewing is used to improve student research capability of understanding research papers in the related fields.

Instructor: Jinbo Bi ([jinbo.bi@uconn.edu](mailto:jinbo.bi@uconn.edu))

Office: ITEB 233

Office Hours: Thursday 2-3pm (in person or virtual <https://uconn-cmr.webex.com/meet/jib10001>)

Teaching Assistant: Aaron Palmer ([aaron.palmer@uconn.edu](mailto:aaron.palmer@uconn.edu))

Lab: ITEB 213

TA Office Hours: Tuesday 1-2pm (in person or virtual <https://uconn-cmr.webex.com/meet/ajp06003>)

### **Course Objectives:**

- Learn about the core approaches and challenges of reinforcement learning
- Understand the sample complexity, generalization, approximation of the approaches
- Learn the fundamental ideas behind stochastic algorithms for large-scale optimization
- Hands-on experience with reinforcement learning algorithms to solve practical problems

### **Prerequisites:**

Students are expected to have a solid understanding of the following areas

- Algorithms: e.g., What sorting algorithms or a search tree do?
- Probability: e.g., What is Bayes rule? How do you normalize a distribution?
- Linear algebra and Calculus: e.g., What are derivatives of a multivariate function? How to compute matrix-matrix and matrix-vector products and their derivatives? What is the multivariate chain rule?
- Computer vision: Convolutional networks, object detection architectures, RNN/LSTMs
- Deep Learning: familiarity with TensorFlow or Pytorch

- Programming: e.g., What are classes and inheritance? How do you structure read data from files and how do you plot figures to visualize results using python?
- Numerical programming: e.g., How would you perform an elementwise product instead of an inner product? How do you invert a matrix?

### Optional Textbooks:

- **Reinforcement Learning: An Introduction** (second edition) by Richard S. Sutton and Andrew G. Barto, ISBN-10: 0262039249

This textbook has a free online version which can be found [here](#). It may help understand the course materials and expand the content discussed in lectures. Lectures may come with slide files, and if possible, link to textbook chapters and recent papers for students to study after lectures.

### Tentative Topics and Schedule:

Recap of introductory ML and introduction of RL problems ---- 1 week

Multi-armed bandits ---- 1 week

Markov decision processes, RL problems, value iteration, policy iteration ---- 1 week

Imitation learning ---- 1 week

Dynamic programming ---- 1 week

Monte Carlo methods ---- 1 week

Temporal difference learning methods ---- 1 week

n-step bootstrapping and TD( $\lambda$ ) ---- 1.5 week

Function approximation in prediction and control, on-policy/off-policy methods ---- 2 week

Policy gradient methods (reinforce and actor-critic) ---- 1.5 week

Stochastic gradient descent, stochastic variance reduction gradient ---- 1 week

Adaptive gradient methods ---- 1 week

Student paper presentations ---- 1 week (at the first 1/3 and second 1/3 of the semester)

*The above schedule is tentative, and it may adapt to a specific class scheduling.*

### Grading:

1. Participation in-class discussion: 5%
2. Homework assignments (3-4): 30%
2. Quizzes (2): 20%
3. Paper review and presentation (1): 15%
4. Final term project (1): 30%

### Course Policy:

*Attendance/participation* includes active involvement in class discussions and presentation evaluations. Instructor may call from the class roster some students to answer questions.

For in-person sessions, computers are allowed in classroom for taking notes or any activity related to the current class meeting.

For online sessions, communications with the instructor and TA may happen in a specific timeslot or by appointments.

Students are responsible for knowing all announcements and supplements given within each class meeting and HuskyCT announcements.

*Homework assignments* are usually given on Monday, and will be due on the next Tuesday before class meeting time. For fairness, late homework submission will not be accepted. You are encouraged to discuss homework problems with your classmates but you must independently write your own solutions by yourself.

*Two mid-term quizzes* are expected to be close-book/close-notes exams and you take them outside of the course meeting time. Each quiz is expected to take one hour and you can finish them on a specific due day. No makeup exams will be given except for medical emergencies with an appropriate document from a doctor.

*Paper review* requires students to form teams (there will be 6 teams with each team consisting of 4~5 students.) Each team will give a presentation on the paper they reviewed with one student summarizing the paper, two students on the proposition side and two students on the opposition side debating the paper. However, every student needs to turn in his/her own critique in HuskyCT. Students may expect to have two paper review sessions.

*The final project* will be in lieu of a final exam. The project will be done as teamwork. The project theme of this semester is focused on autonomous driving and transportation. A list of tasks will be provided by the instructor based on discussions with the Connecticut Transportation Safety Research Center (CTSRC). Students need to form a team and collectively perform a project based on a selected task from the given list. Each task is associated with a contact scientist from CTSRC. There are two steps: 1) students need to discuss with the contact scientist to understand the data and/or setting, and define a reinforcement learning related problem and submit this problem definition by March 26<sup>th</sup>. 2) student teams then need to implement solutions to the problem they have defined, observe experimental results, and provide a final report for grading. The report is expected to take a format including sections - Introduction, Methods, Results, and Conclusion. The final report is due on the Wed in the final exam week to allow the instructor and TA enough time to grade.

### **HuskyCT:**

A [HuskyCT](#) site has been set up for the class. You can access it by logging in with your NetID and password. You must use HuskyCT for submitting assignments. The instructor uses the HuskyCT announcement to announce class materials, grades, problem clarifications, changes in class schedule, and other class announcements.

### **Student Responsibility and Resources:**

As a member of the University of Connecticut student community, you are held to certain

standards and academic policies. In addition, there are numerous resources available to help you succeed in your academic work. Review these important [standards, policies and resources](#), which include:

- The Student Code
- Academic Integrity
- Resources on Avoiding Cheating and Plagiarism
- Copyrighted Materials
- Netiquette and Communication
- Adding or Dropping a Course
- Academic Calendar
- Policy Against Discrimination, Harassment and Inappropriate Romantic Relationships
- Sexual Assault Reporting Policy

### **Students with Accommodations:**

Any student with a disability who needs a classroom accommodation, access to technology or other assistance in this course should contact the [Center for Students with Disabilities](#) and inform the instructor, so that arrangements can be made to accommodate the student as well as possible.

If a student is tested positive for covid or has exposure with positive cases, (s)he should follow the University policies for quarantine and is expected to self-study course materials from HuskyCT (and borrow notes from classmates or discuss with TA if necessary). Course works are still expected to be submitted on time unless a PCR test positive result is given showing the vicinity to the due date.

### **Academic Integrity:**

You are expected to adhere to the highest standards of academic honesty. Use of published materials is allowed, but the sources should be explicitly stated in your solutions. Violations will be reviewed and sanctioned according to the University Policy on Academic Integrity. Lack of knowledge of the Student Code is not a reasonable explanation for a violation.

“Academic integrity is the pursuit of scholarly activity free from fraud and deception and is an educational objective of this institution. Academic dishonesty includes, but is not limited to, cheating, plagiarizing, fabricating of information or citations, facilitating acts of academic dishonesty by others, having unauthorized possession of examinations, submitting work for another person or work previously used without informing the instructor, or tampering with the academic work of other students.”